Form PTO-1449 Sheet 1 of 4 Applicant: Endo, et al. Serial No.: 09/755,966 Att'y Docket No.: 15129.9 Filing Date: January 5, 2001 For: MAPPING CLINICAL DATA WITH A HEALTH DATA DICTIONARY ORMATION DISCLOSURE CITATIONS MADE BY APPLICANT U.S. Patent Documents Examiner Patent Issue Sub Filing Class Class Date Initial* Number Date Name NONE Foreign Patent Documents Examiner Document Publ. Country or Sub Trans-Initial* <u>Number</u> **Date** Patent Office Class Class lation NONE Other Documents (including author (if listed), title, relevant pages, date of publication including at least month and year). Examiner Initial* Cassin, et al., "Strategic and Management Lessons Learned in the Implementation of a Data Dictionary," Converging Information, Technology, and Health Care, Proceedings of the 2000 AMIA Annual Symposium, 6 pages Lee Min Lau, et al., "A Method for the Automated Mapping of Laboratory Results to LOINC," Converging Information, Technology, and Health Care. Proceedings of the 2000 AMIA Annual Symposium, 5 pages Wilson, et al., "Issues Encountered While Standardizing Diagnostic Imaging Procedures of Multiple Healthcare Institutions to LOINC," Converging Information, Technology, and Health Care, Proceedings of the 2000 AMIA Annual Symposium, 1 page Date Considered: Examiner: *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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MAPPING CLINICAL DATA WITH A HEALTH DATA DICTIONARY

Other Documents (Continued)

(including author (if listed), title, relevant pages, date of publication including at least month and year).

<u>Initial</u> *	
<u>A</u> A4	Shakib, et al., "Challenges of Mapping Microbiology Data to a Common Data Dictionary," Converging Information, Technology, and Health Care, Proceedings of the 2000 AMIA Annual Symposium, 1 page
As St	Huff, et al., "The Impact of Terminology Adoption upon Ourselves and Our Customers: Clinical Software Developer's Perspective," Converging Information, Technology, and Health Care, Proceedings of the 2000 AMIA Annual Symposium, 3 pages
A6	"3M Care Innovation, Healthcare Data Dictionary (HDD)," 3M Health Information Systems, Bulletin # 70-2009-3086-8, 3/00, 2 pages.
<u>Z</u> _A7	SNOMED RT: Results of Beta Test with 23 Organizations, Transforming Health Care Through Informatics: Cornerstones for a New Information Management Paradigm, Proceedings of the 1999 AMIA Annual Symposium, 4 pages
<u>A8</u>	Lee Min Lau, et al., "Applying the Desiderata for Controlled Medical Vocabularies to Drug Information Databases," Transforming Health Care Through Informatics: Cornerstones for a New Information Management Paradigm, Proceedings of the 1999 AMIA Annual Symposium, 5 pages
A9	Lee Min Lau, et al., "Comparing the Mapping of Laboratory Results to LOINC among Different Healthcare Institutions Using a Common Data Dictionary," Transforming Health Care Through Informatics: Cornerstones for a New Information Management Paradigm, Proceedings of the 1999 AMIA Annual Symposium, 1 page

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"Toward a Universal Medical Dictionary: A Solution for Implementing Today's Computer-Based Patient Record," 3M Health Care Report, Vol. V, Issue 2, 1995, pp. 1-8.

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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References Cited by Applicants

MAPPING CLINICAL DATA WITH A HEALTH DATA DICTIONARY

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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